



# Spectroscopy Evaluation

Geoffrey Toon

Jet Propulsion Laboratory

California Institute of Technology

In July 2006, HITRAN released a complete replacement for  $\text{HNO}_3$ , based on the work of Flaud et al., [2006] “MIPAS database: Validation of  $\text{HNO}_3$  line parameters using MIPAS satellite measurements”, ACP, 6, 5037-5048, 2006

I evaluate this new  $\text{HNO}_3$  linelist by using it to fit MkIV balloon spectra and PNNL laboratory spectra. The latter are described by Chackerian et al. JQSRT, 82, 429-441, 2003.

*[Why fit MkIV spectra, not ACE ? They are  $2\frac{1}{3}$  times higher spectral resolution – easier to see spectroscopic problems]*

# $\text{HNO}_3$ Fundamentals

$\text{HNO}_3$  has  $3N-6 = 9$  fundamental vibration-rotation bands

<b>458.2</b>	$\nu_9$
<b>580.3</b>	$\nu_7$
<b>646.8</b>	$\nu_6$
<b>763.2</b>	$\nu_8$
<b>879.1</b>	$\nu_5$
<b>1303.2</b>	$\nu_4$
<b>1326.2</b>	$\nu_3$
<b>1709.6</b>	$\nu_2$
<b>3551.7</b>	$\nu_1$

It therefore has

- 9 first overtone bands
- $9 \times 8 / 2 = 36$  simple combination bands

Most of these  $9+9+36=54$  bands are discernable in solar occultation spectra

How many are in HITRAN ?

# HNO<sub>3</sub> ground-state bands

<b>458.2</b>	<b>v<sub>9</sub></b>	1522.8	v <sub>5</sub> + v <sub>6</sub>	2467.9	v <sub>2</sub> + v <sub>8</sub>
<b>580.3</b>	<b>v<sub>7</sub></b>	1523.3	2v <sub>8</sub>	2583.5	v <sub>2</sub> + v <sub>5</sub>
<b>646.8</b>	<b>v<sub>6</sub></b>	1639.0	v <sub>5</sub> + v <sub>8</sub>	2601.2	2v <sub>4</sub>
<b>763.2</b>	<b>v<sub>8</sub></b>	<b>1709.6</b>	<b>v<sub>2</sub></b>	2624.1	v <sub>3</sub> + v <sub>4</sub>
<b>879.1</b>	<b>v<sub>5</sub></b>	1754.7	2v <sub>5</sub>	2645.1	2v <sub>3</sub>
<b>914.6</b>	<b>2v<sub>9</sub></b>	1757.9	v <sub>4</sub> + v <sub>9</sub>	3006.8	v <sub>2</sub> + v <sub>4</sub>
1036.4	v <sub>7</sub> + v <sub>9</sub>	1780.8	v <sub>3</sub> + v <sub>9</sub>	3029.7	v <sub>2</sub> + v <sub>3</sub>
1102.8	v <sub>6</sub> + v <sub>9</sub>	1879.7	v <sub>4</sub> + v <sub>7</sub>	3412.4	2v <sub>2</sub>
1158.3	2v <sub>7</sub>	1902.7	v <sub>3</sub> + v <sub>7</sub>	3551.7	v <sub>1</sub>
<b>1205.0</b>	<b>v<sub>8</sub> + v<sub>9</sub></b>	1946.1	v <sub>4</sub> + v <sub>6</sub>	4006.9	v <sub>1</sub> + v <sub>9</sub>
<b>1224.6</b>	<b>v<sub>6</sub> + v<sub>7</sub></b>	1969.1	v <sub>3</sub> + v <sub>6</sub>	4123.7	v <sub>1</sub> + v <sub>7</sub>
1291.0	2v <sub>6</sub>	2062.3	v <sub>4</sub> + v <sub>8</sub>	4190.1	v <sub>1</sub> + v <sub>6</sub>
<b>1303.2</b>	<b>v<sub>4</sub></b>	2085.2	v <sub>3</sub> + v <sub>8</sub>	4306.3	v <sub>1</sub> + v <sub>8</sub>
<b>1326.2</b>	<b>v<sub>3</sub></b>	2163.5	v <sub>2</sub> + v <sub>9</sub>	4421.9	v <sub>1</sub> + v <sub>5</sub>
1334.6	v <sub>5</sub> + v <sub>9</sub>	2177.9	v <sub>4</sub> + v <sub>5</sub>	4845.2	v <sub>1</sub> + v <sub>4</sub>
1340.8	v <sub>7</sub> + v <sub>8</sub>	2200.9	v <sub>3</sub> + v <sub>5</sub>	4868.1	v <sub>1</sub> + v <sub>3</sub>
1407.2	v <sub>6</sub> + v <sub>8</sub>	2285.3	v <sub>2</sub> + v <sub>7</sub>	5250.8	v <sub>1</sub> + v <sub>2</sub>
1456.5	v <sub>5</sub> + v <sub>7</sub>	2351.7	v <sub>2</sub> + v <sub>6</sub>	7089.2	2v <sub>1</sub>

*Bold indicates present in July 2006 HITRAN update*

# HNO<sub>3</sub> Spectroscopy Evaluation

So the July 2006 HITRAN update for HNO<sub>3</sub> covers 11/54 possible ground-state bands

- 8/9 fundamentals (missing  $\nu_1$ )
- 1/9 overtones ( $2\nu_9$  only)
- 2/36 combination bands
- 4/100+ hot-bands
- 1/5 single-substituted isotopologs

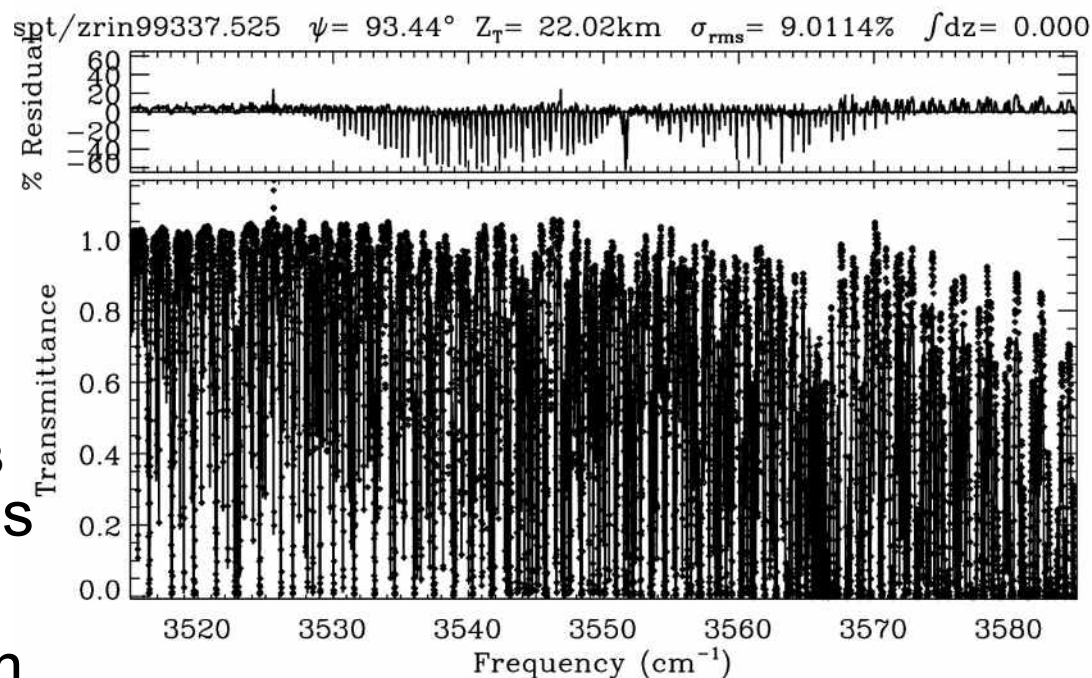
# HNO<sub>3</sub> Spectroscopy Evaluation

How well does the July 2006 HITRAN update represent the 11 absorption bands that it covers?

Does it make any real difference that so many HNO<sub>3</sub> bands are missing?

Can they be seen in spectral fits to solar occultation spectra ?

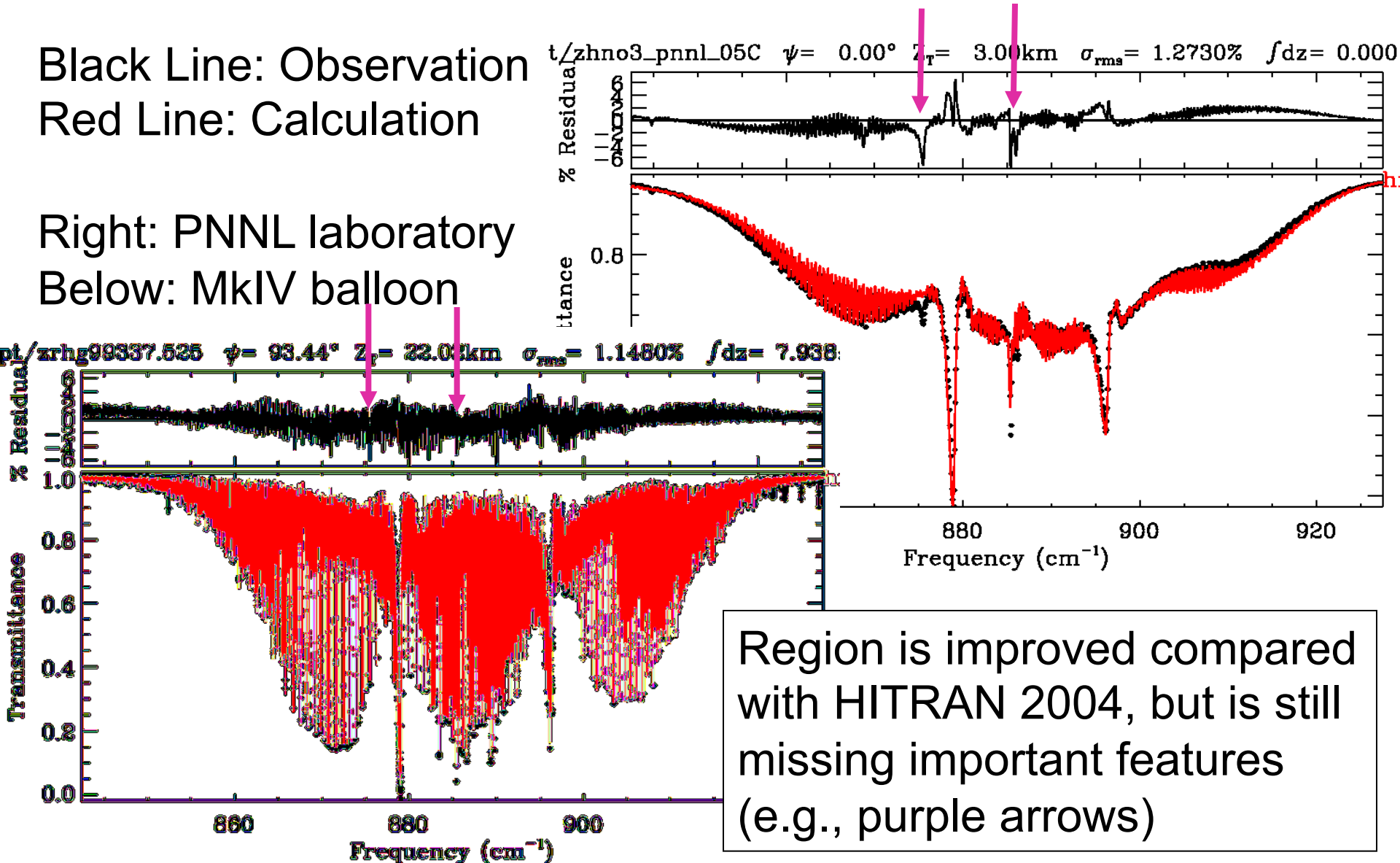
Yes!. The missing HNO<sub>3</sub> lines are 60% deep in this 22 km tangent altitude limb occultation spectrum



# $\text{HNO}_3$ 900 $\text{cm}^{-1}$ : $\nu_5$ & $2\nu_9$

Black Line: Observation  
Red Line: Calculation

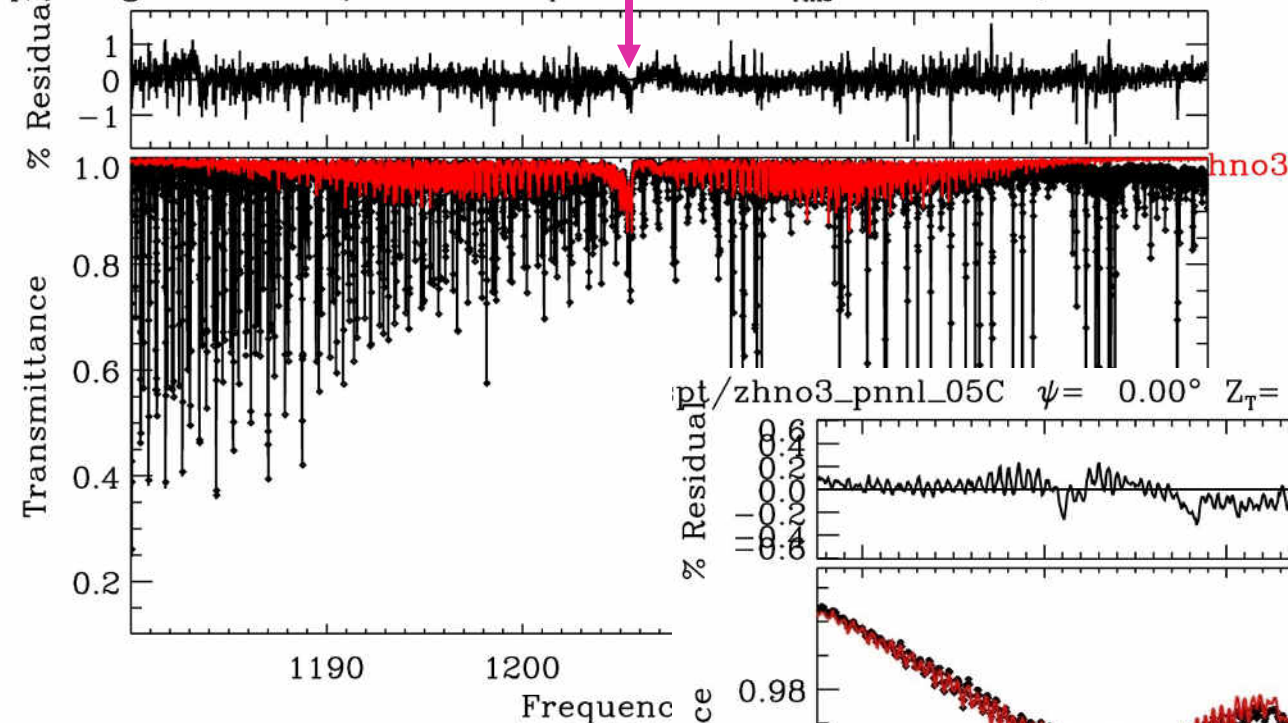
Right: PNNL laboratory  
Below: MkIV balloon



Region is improved compared with HITRAN 2004, but is still missing important features (e.g., purple arrows)

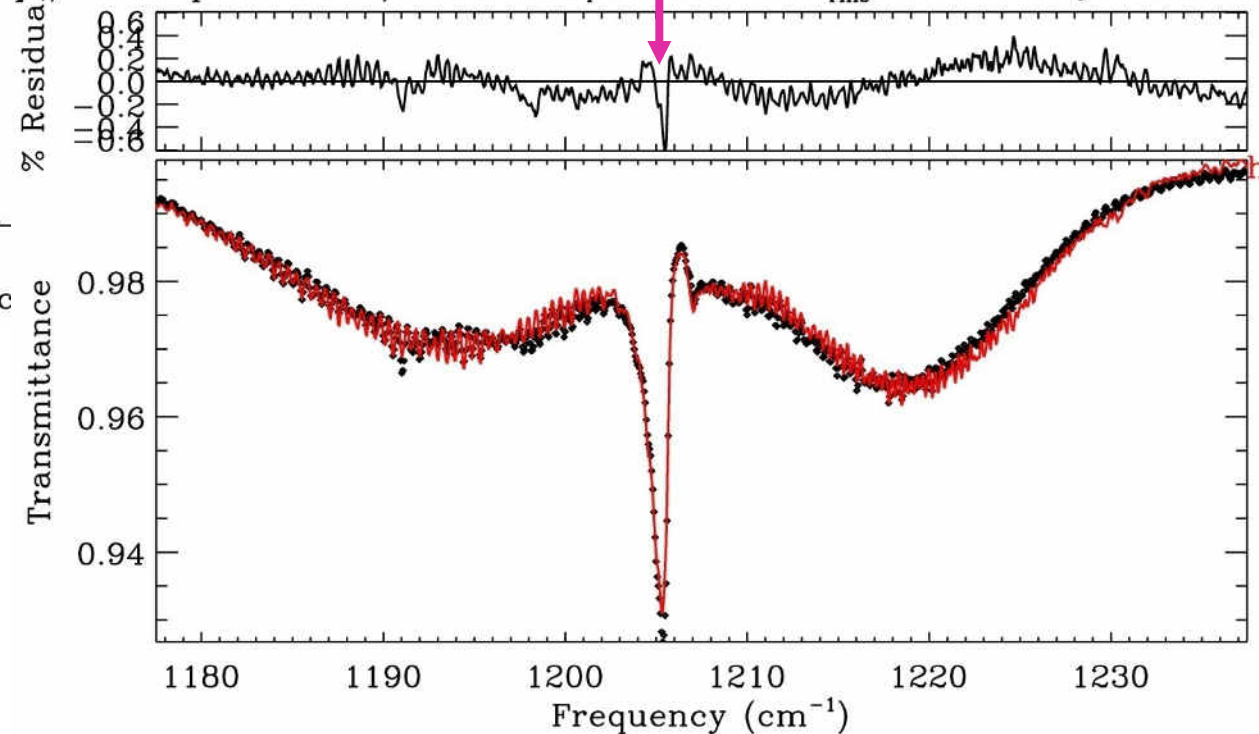
# $\text{HNO}_3$ $1205\text{ cm}^{-1}$ : $\nu_8+\nu_9$ & $\nu_6+\nu_7$

spt/zrhg99337.525  $\psi = 93.44^\circ$   $Z_T = 22.02\text{km}$   $\sigma_{\text{rms}} = 0.2631\%$   $\int dz = 8.840 \pm 0.0$



Region is much improved in comparison with HITRAN\_2004

pt/zhno3\_pnnl\_05C  $\psi = 0.00^\circ$   $Z_T = 3.00\text{km}$   $\sigma_{\text{rms}} = 0.1230\%$   $\int dz = 0.000$

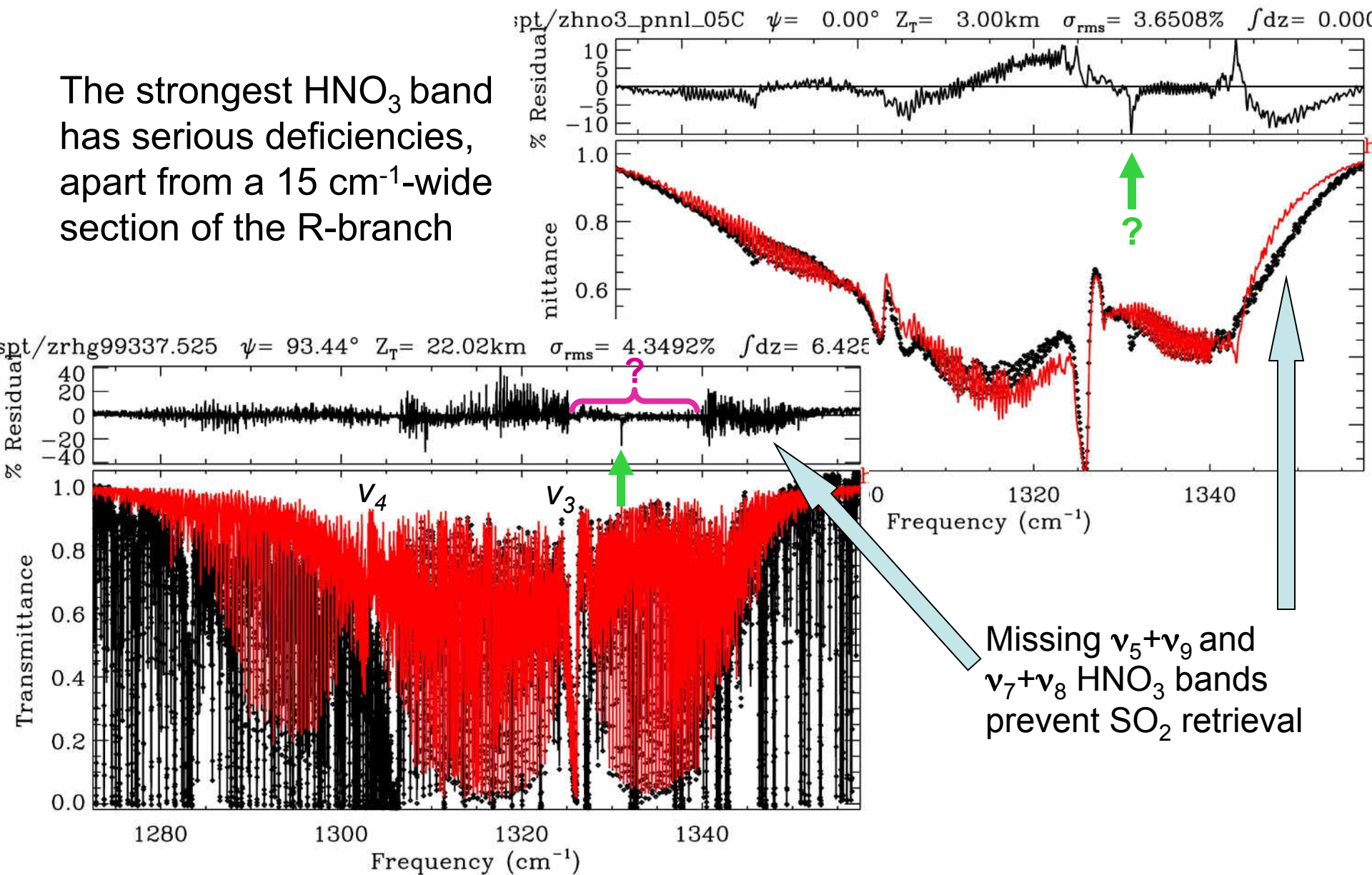


Problem at  $1205.5\text{ cm}^{-1}$  seen in fits to MkIV balloon and PNNL spectra



# HNO<sub>3</sub> 1303 & 1326 cm<sup>-1</sup> $\nu_4$ & $\nu_3$ bands

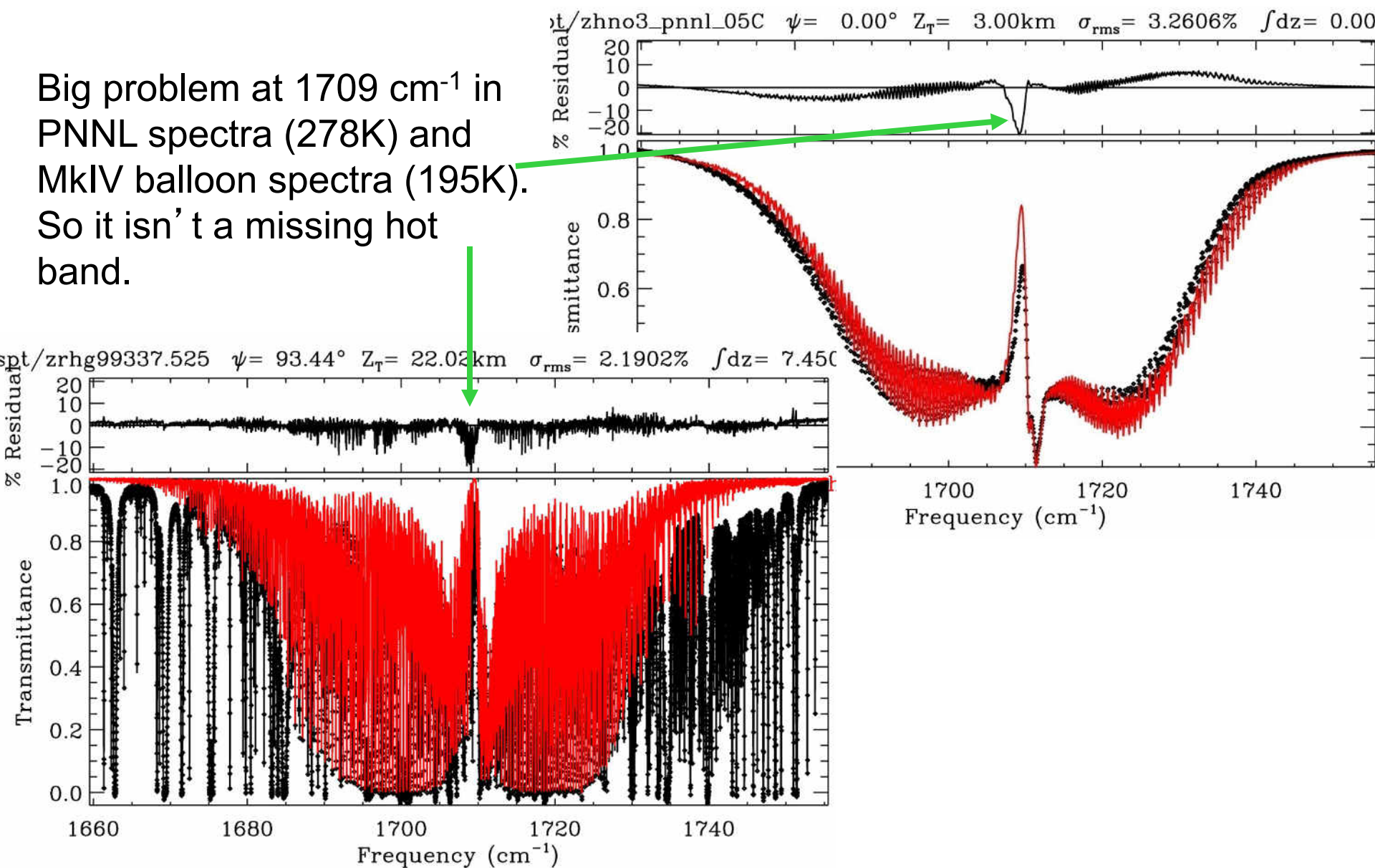
The strongest HNO<sub>3</sub> band has serious deficiencies, apart from a 15 cm<sup>-1</sup>-wide section of the R-branch





# $\text{HNO}_3$ $1709\text{ cm}^{-1}$ $\nu_2$ band

Big problem at  $1709\text{ cm}^{-1}$  in PNNL spectra (278K) and MkIV balloon spectra (195K). So it isn't a missing hot band.



# Bands completely missing from HITRAN

In the next section we look at a few of the bands at frequencies above 1770  $\text{cm}^{-1}$  that are missing from HITRAN

We evaluate their impact on the surrounding spectral region by fitting MkIV balloon spectra acquired in the winter polar vortex at 22-23 km tangent altitude (lots of  $\text{HNO}_3$ ).

We also evaluate new, empirical  $\text{HNO}_3$  linelists for 3 of the missing bands.

# Empirical linelists for missing bands

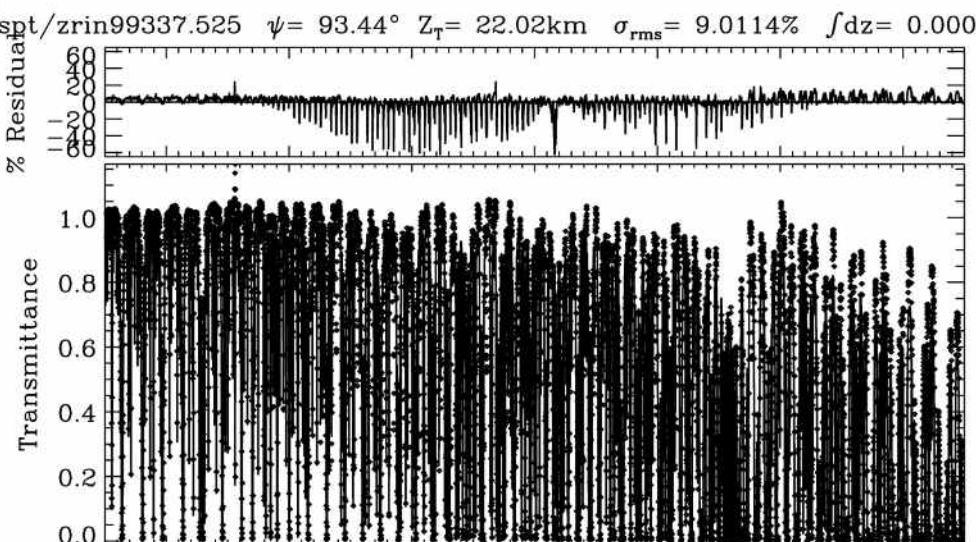
Lacking spectra acquired at low T, it was not possible to generate an empirical PLL as was done for C<sub>2</sub>H<sub>6</sub>. Use MkIV balloon spectra instead.

Assumed that all HNO<sub>3</sub> bands have the same basic P-Q-R shape with a similar ~0.4 cm<sup>-1</sup> spacing of the manifolds.

- Use the  $\nu_2$  band (1700 cm<sup>-1</sup>) as template for missing HNO<sub>3</sub> bands.
- Shift and squeeze/stretch the template linelist until it fits the manifold positions of the missing band. Scale the P/Q/R branch intensities.

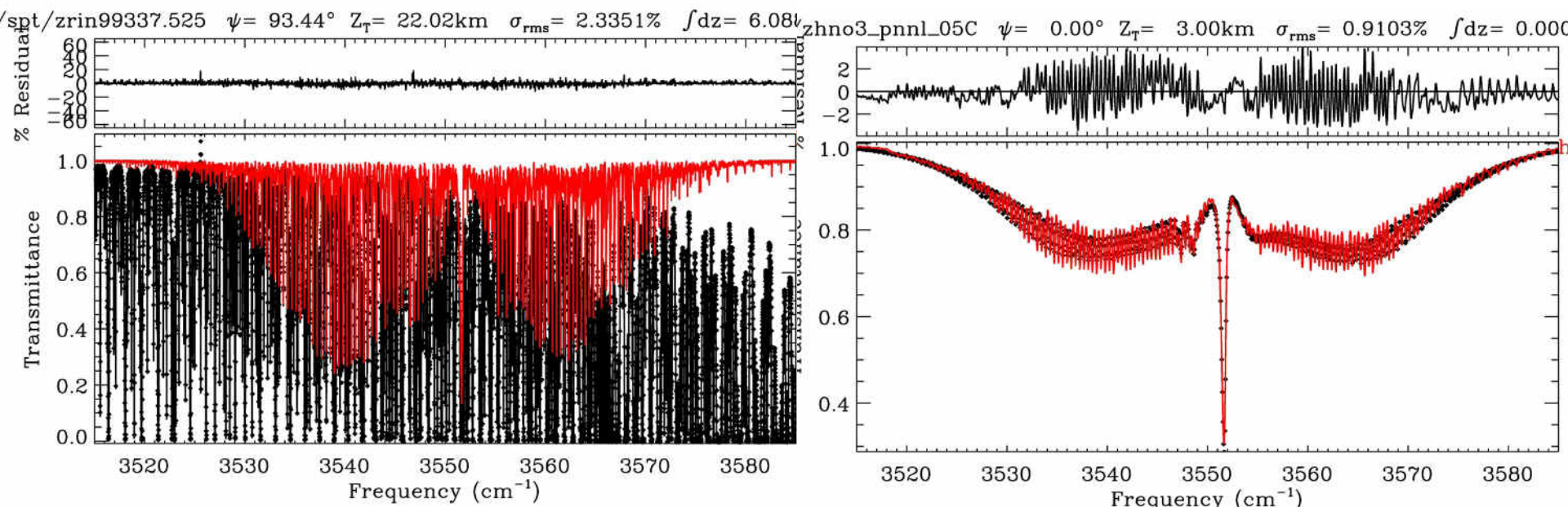
Works well for P & R branches, but Q-branches were difficult.

# HNO<sub>3</sub> 3551 cm<sup>-1</sup> $\nu_1$ band

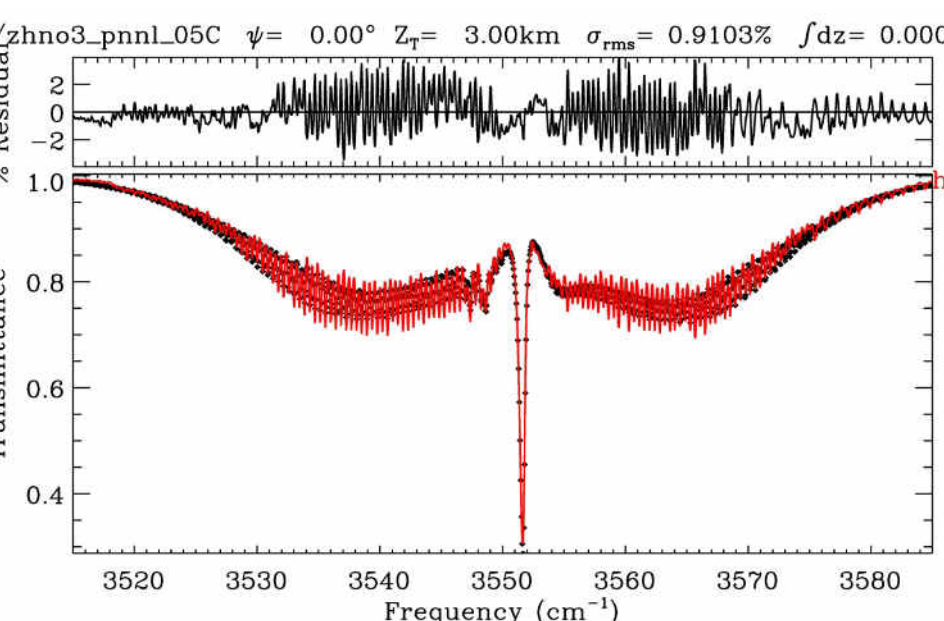


Upper Left: Fit to MkIV balloon spectrum using HITRAN: no HNO<sub>3</sub>

Lower Left: MkIV spectrum fitted using empirical HNO<sub>3</sub> linelist

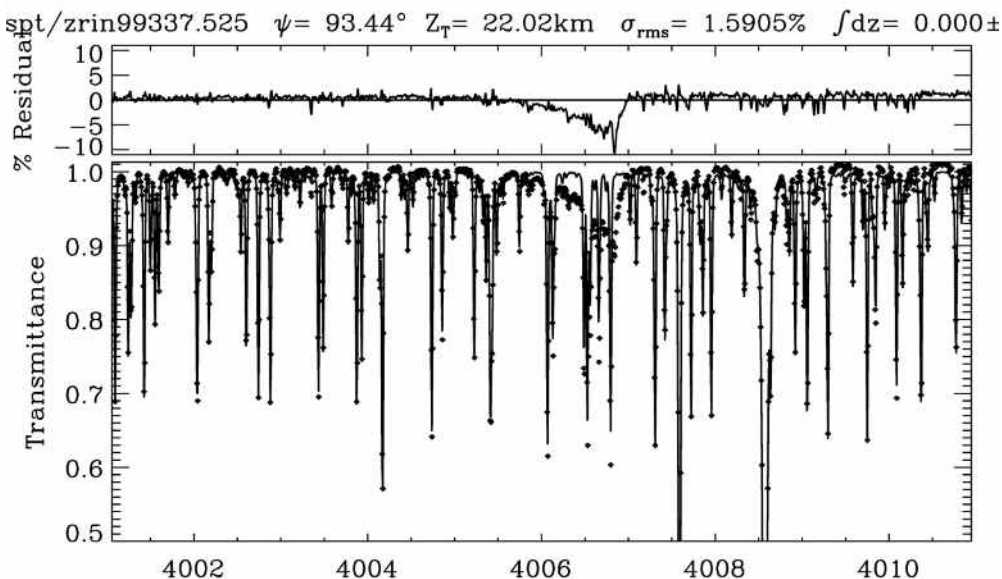


Lower Right: PNNL spectrum fitted using empirical HNO<sub>3</sub> linelist



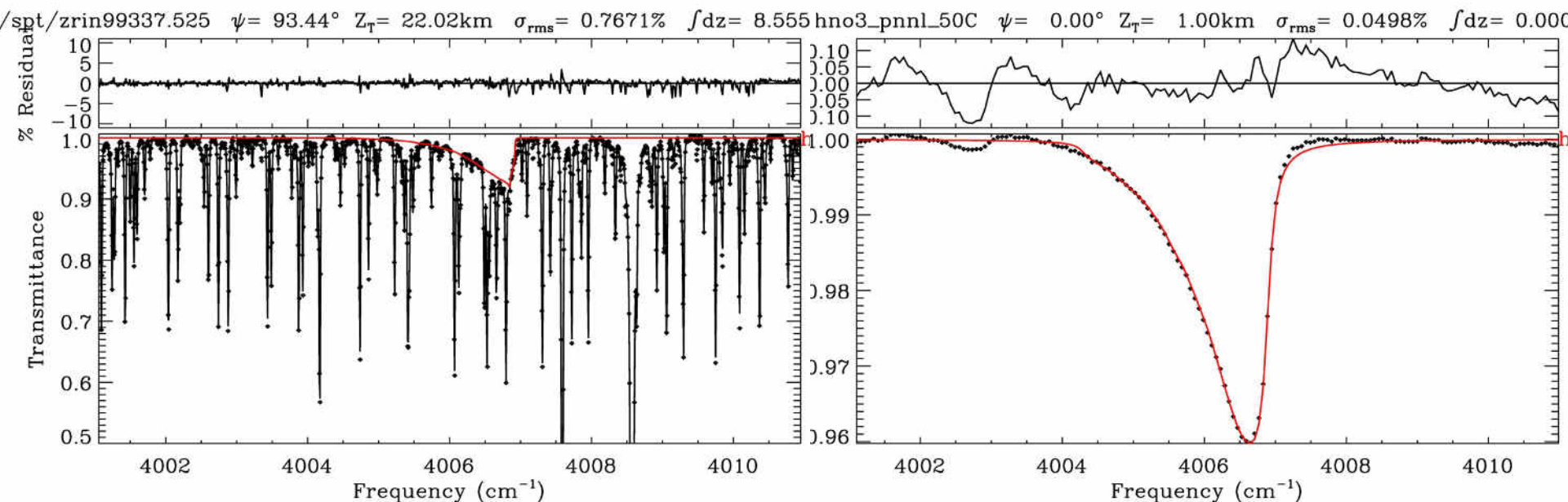


# $\text{HNO}_3$ $4006\text{ cm}^{-1}$ $\nu_1 + \nu_9$ band

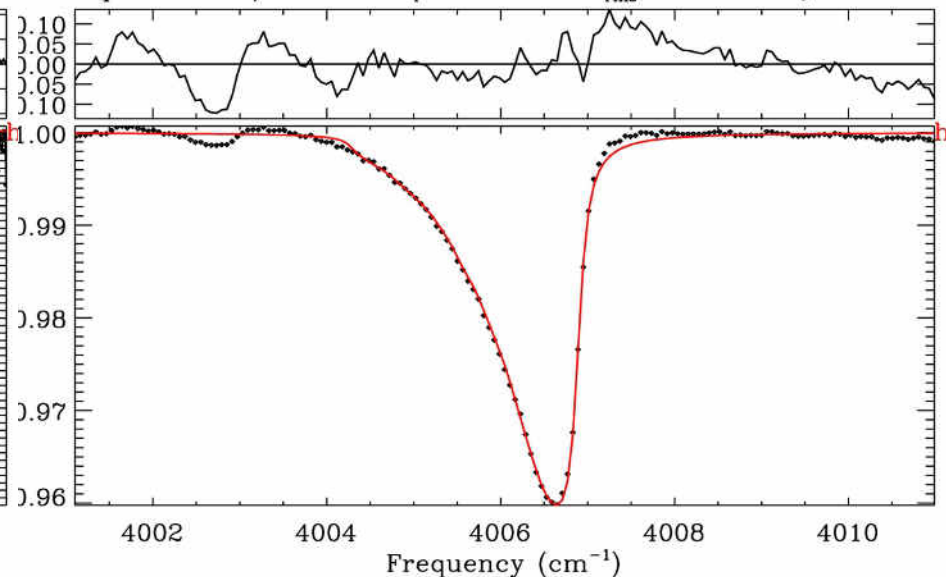


Upper Left: Fit to MkIV balloon spectrum at 22 km tangent altitude

Lower Left: Fit to same spectrum with new kludged  $\text{HNO}_3$  linelist

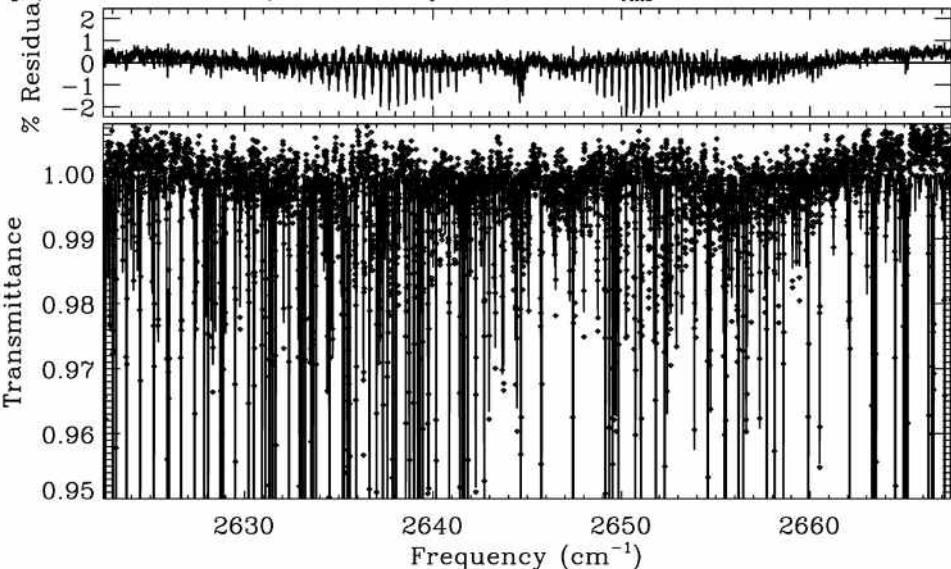


Lower Right: Fit to PNNL spectrum using same kludged  $\text{HNO}_3$  linelist



# HNO<sub>3</sub> 2645 cm<sup>-1</sup> 2ν<sub>3</sub> band

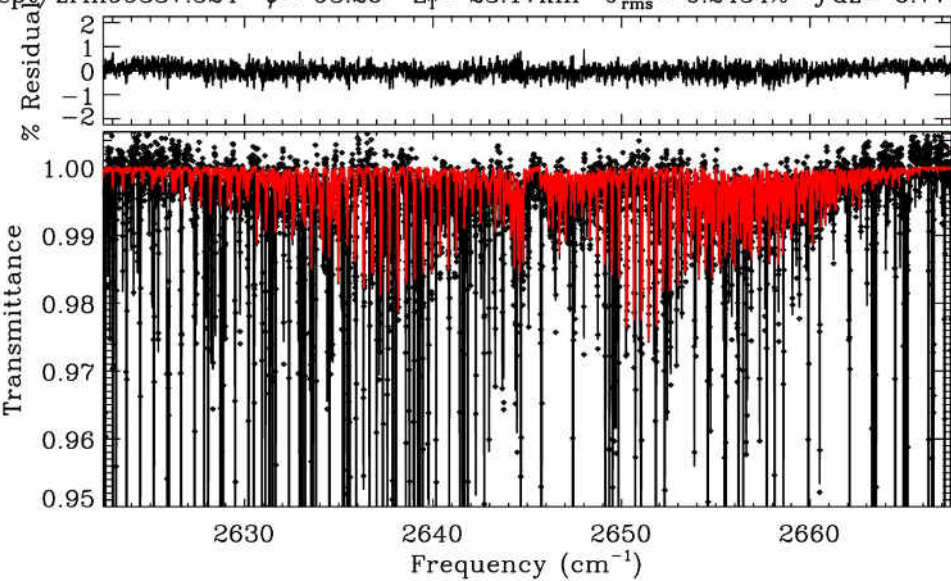
spt/zrin99337.524  $\psi = 93.26^\circ$   $Z_T = 23.17\text{km}$   $\sigma_{\text{rms}} = 0.4039\%$   $\int dz = 0.000$



**Upper Left:** Fit to MkIV balloon spectrum using HITRAN HNO<sub>3</sub>

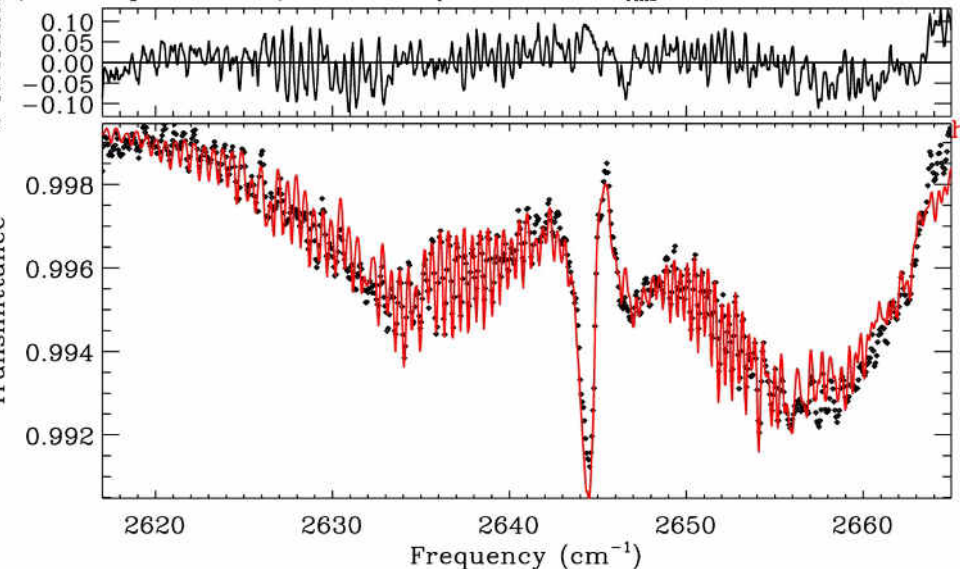
**Lower Left:** Fit using empirical HNO<sub>3</sub> linelist

spt/zrin99337.524  $\psi = 93.26^\circ$   $Z_T = 23.17\text{km}$   $\sigma_{\text{rms}} = 0.2454\%$   $\int dz = 6.771$



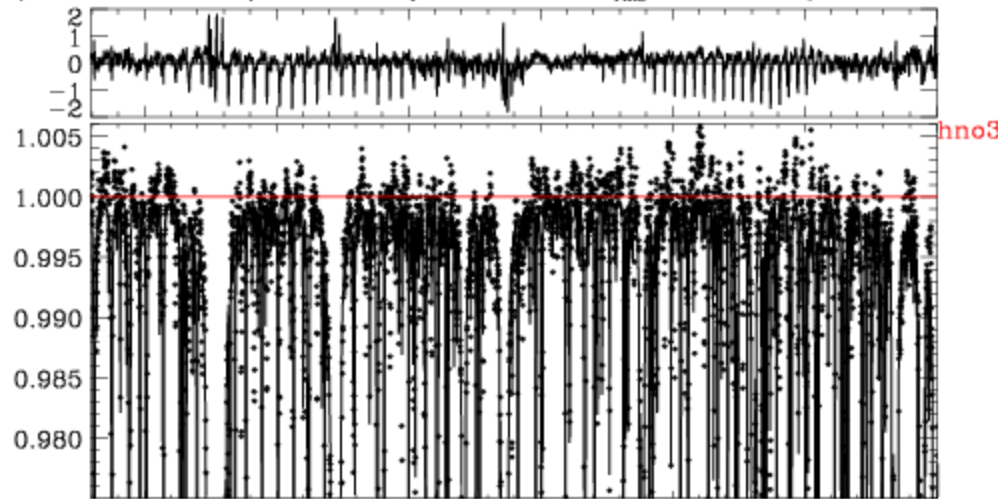
**Lower Right:** Fit to PNNL spectrum using kludged HNO<sub>3</sub> linelist

t/zhno3\_pnnl\_05C  $\psi = 0.00^\circ$   $Z_T = 3.00\text{km}$   $\sigma_{\text{rms}} = 0.0436\%$   $\int dz = 0.000$



# $\text{HNO}_3$ $3404\text{ cm}^{-1}$ $2\nu_2$ band

ot/zrin97128.513  $\psi = 94.41^\circ$   $Z_T = 19.64\text{km}$   $\sigma_{\text{rms}} = 0.3608\%$   $\int dz = 0.000 \pm 1.9$

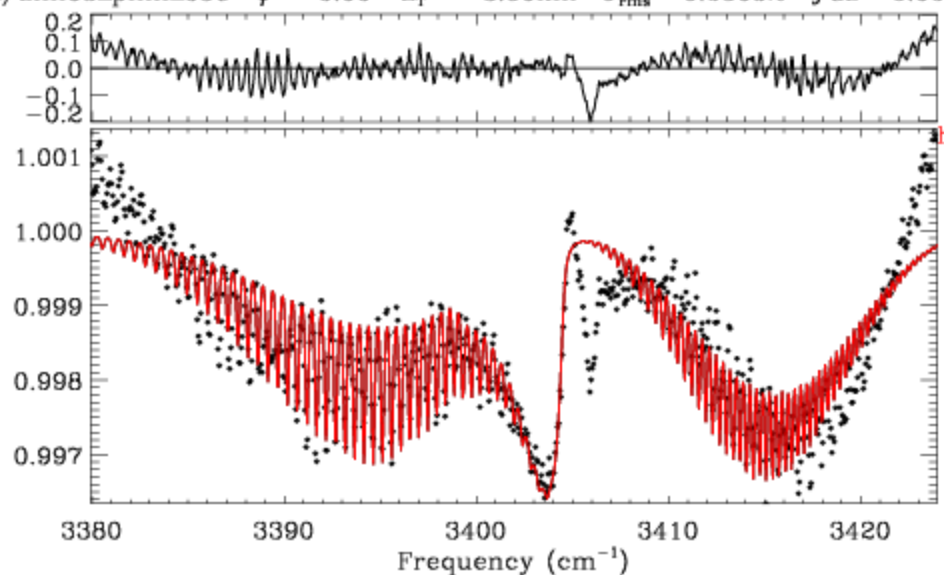
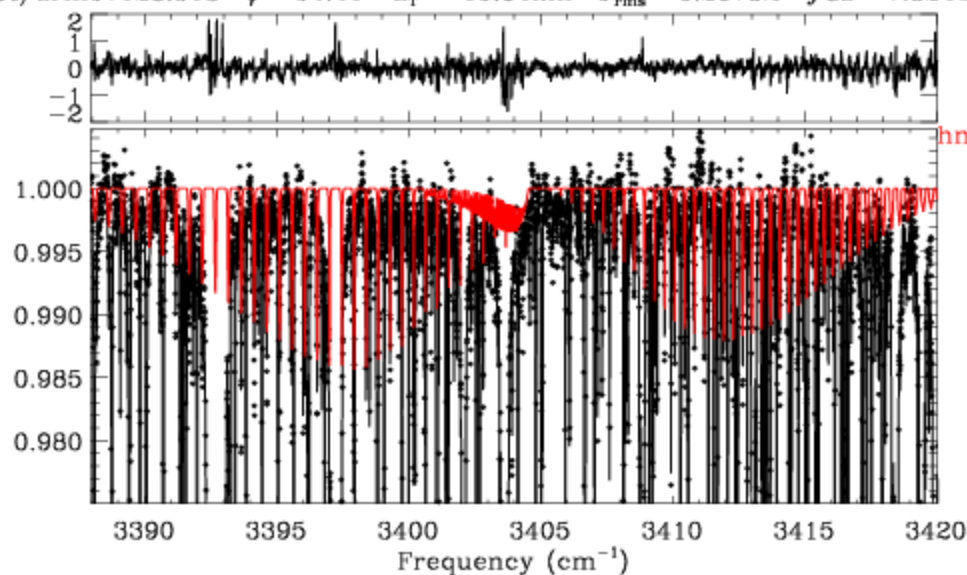


**Upper Left:** Fit to MkIV balloon spectrum using HITRAN  $\text{HNO}_3$

**Lower Left:** Fit using empirical  $\text{HNO}_3$  linelist

**Lower Right:** Fit to PNNL spectrum using kludged  $\text{HNO}_3$  linelist

ot/zrin97128.513  $\psi = 94.41^\circ$   $Z_T = 19.64\text{km}$   $\sigma_{\text{rms}} = 0.2678\%$   $\int dz = 7.824 \pm$  /zhno3\_pnnl\_05C  $\psi = 0.00^\circ$   $Z_T = 3.00\text{km}$   $\sigma_{\text{rms}} = 0.0505\%$   $\int dz = 0.00$





# Comparison with HITRAN 2004

2006 HNO<sub>3</sub> update is a major improvement over HITRAN 2004:

- Was focused on thermal IR (MIPAS & IASI)
- Reduced strength inconsistencies between 900 and 1700 cm<sup>-1</sup> regions
- Improved fits to the 900 and 1200 cm<sup>-1</sup> regions
- Introduced J-dependent widths (900 cm<sup>-1</sup> region only)

But serious deficiencies remain:

- $\nu_3$  band at 1300 cm<sup>-1</sup> (the strongest) is still unusable (except for a narrow section of the R-branch)
- Zero lines above 1770 cm<sup>-1</sup>.
- Missing the  $\nu_1$  fundamental band at 3550 cm<sup>-1</sup>
- Missing the vast majority of overtone and combination bands
- Missing most hot bands and all heavy isotopologs
- HNO<sub>3</sub> widths are all constant, with the exception of the 900 cm<sup>-1</sup> region

*HNO<sub>3</sub> is the main cause of poor residuals in fits to stratospheric spectra.*

# Conclusions

*HNO<sub>3</sub> spectroscopic deficiencies are a major obstacle to further progress in the use of solar occultation spectra for new research into atmospheric composition.*

*Detection/Measurement of the following gases is impaired by HNO<sub>3</sub> spectroscopic inadequacies*

- SO<sub>2</sub> at 1340 cm<sup>-1</sup>
- H<sub>2</sub>CO at 1700 cm<sup>-1</sup>
- HOCl at 1230 cm<sup>-1</sup>
- HDO at 2645 cm<sup>-1</sup>
- OH & HO<sub>2</sub> at 3400 cm<sup>-1</sup>
- OH & HO<sub>2</sub> at 3550 cm<sup>-1</sup>
- HF at 4000 cm<sup>-1</sup>

*Improved HNO<sub>3</sub> linelist urgently needed !*

# Empirical HNO<sub>3</sub> linelists

Created new empirical HNO<sub>3</sub> linelists for:

- $2\nu_3$  at 2645 cm<sup>-1</sup>
- $2\nu_2$  at 3404 cm<sup>-1</sup>
- $\nu_1$  at 3551 cm<sup>-1</sup>
- $\nu_1+\nu_9$  at 4006.6 cm<sup>-1</sup>

Although these empirical linelists are better than nothing, they do not allow the fitting of MkIV spectra down to the noise level.

These empirical linelists should **not** be used for retrieving HNO<sub>3</sub> itself. They should only be used for fitting other gases of interest in these regions, where HNO<sub>3</sub> is an interferent.

A linelist doesn't have to be perfect to be extremely useful to the remote sensing community.